WO 2005/064371 PCT/EP2003/051108

## **CLAIMS**

1. Optical mode converter comprising:

5

20

25

- a coupling waveguide (4) having at an input end a first effective refractive index n<sub>1eff</sub>, the coupling waveguide including a tapered core (41; 402) of a substantially constant refractive index n<sub>1</sub> with a substantially square cross section at the input end (5; 405), having a size that tapers down moving away from the input end, the coupling waveguide having a cladding (42; 403) at least partially surrounding the tapered core; and
- a receiving waveguide (3) having a second effective refractive index n<sub>2eff</sub> at an output end, comprising a core (31; 404) of a substantially constant refractive index n<sub>2</sub>, greater than the refractive index n<sub>1</sub> of the tapered core (41; 402) of the coupling waveguide, and a cladding (32; 403) at least partially surrounding the core,
- a side surface (43) of the tapered core of the coupling waveguide being optically in contact, in a coupling portion thereof, with the receiving waveguide so as to allow optical coupling between the coupling waveguide and the receiving waveguide, wherein the refractive index n<sub>1</sub> of the tapered core of the coupling waveguide is selected
  - so that the first effective refractive index  $n_{leff}$  and the second effective refractive index  $n_{2eff}$  differ from each other in absolute value less than 30% of the difference  $(n_2-n_{2eff})$  between the core refractive index and the effective refractive index of the receiving waveguide.
  - 2. Optical mode converter according to claim 1, wherein the refractive index  $n_1$  of the tapered core is selected so that the first effective refractive index  $n_{leff}$  and the second effective refractive index  $n_{2eff}$  differ from each other in absolute value less than 20% of the difference  $(n_2 n_{2eff})$  between the core refractive index and the effective refractive index of the receiving waveguide.
- 3. Optical mode converter according to claim 2, wherein the refractive index n<sub>1</sub> of the tapered core is selected so that the first effective refractive index n<sub>1eff</sub> and the second effective refractive index n<sub>2eff</sub> differ from each other in absolute value less than 10% of the difference (n<sub>2</sub> n<sub>2eff</sub>) between the core refractive index and the effective refractive index of the receiving waveguide.

PCT/EP2003/051108 WO 2005/064371

Optical mode converter according to claim 3, wherein the refractive index n<sub>1</sub> of 4. the tapered core is selected so that the first effective refractive index n<sub>leff</sub> is substantially equal to the second effective refractive index  $n_{2eff}$ .

5

Optical mode converter according to claim 1, wherein the core of the receiving 5. waveguide is tapered over at least a portion that is optically in contact with the coupling portion of the coupling waveguide.

10

Optical mode converter according to claim 5, wherein the tapered core of the 6. receiving waveguide reaches a width W2eq, at an end of its coupling portion opposite to the input end, such that the effective refractive index of the receiving waveguide at said end of the coupling portion is approximately equal for two orthogonal polarization modes.

. 15

Optical device comprising an optical mode converter according to any one of the previous claims and a launching waveguide (2) coupled to an input end of said coupling waveguide (4).

20

Optical device according to claim 10, wherein said launching waveguide is a single mode optical fiber.

- 12. Method for fabricating an optical tapered waveguide comprising the following steps:
  - growing a bottom cladding layer (32; 401) on a substrate (30),

25

digging a wedge shape (41) with a predetermined depth (h) into said bottom cladding layer,

filling said wedge shape with an optical transmissive material having a refractive index  $n_1$ , so as to form a wedge,

growing a receiving core (404) above said wedge in a way to optically contact at least partially said core layer with an upper surface of said wedge,

30

growing a top cladding layer (33; 406) on said receiving core, wherein the refractive index n<sub>1</sub> of the wedge is selected so that a first effective refractive index n<sub>leff</sub> of a receiving waveguide having said wedge as a core and a second effective refractive index n<sub>2eff</sub> of a waveguide having said receiving core as a WO 2005/064371 PCT/EP2003/051108

core differ from each other in absolute value less than 30% of the difference ( $n_2 - n_{2\text{eff}}$ ) between the refractive index of said receiving core and the effective refractive index of the receiving waveguide.

5 13. Method according to claim 12, further comprising the step of growing a ridge (34) on the top of the top cladding layer.